

JOB OPENING

The Options Clearing Corporation (OCC) has compliance officer positions open in Chicago

Senior Compliance Officer/ Testing: Implement and execute a compliance testing program within OCC, a systemically-important financial market utility (SIFMU) that plays a central role in the functioning of the global options, securities, and investment markets. This position provides an exciting opportunity for an individual with a consulting background to build his or her career by implementing a program of compliance testing protocols focused on determining the effectiveness of existing legal and regulatory control environment and assisting the business with identifying sustainable corrective actions, including:

- Ø Performing and executing compliance and validation testing, reviews and maintenance of compliance risk assessments.
- Ø Providing strategic insight regarding the compliance and regulatory guidance and expectations for OCC.
- Ø Assessing OCC's current compliance with applicable regulations, laws, and legal requirements.
- Ø Advising and interacting with business personnel and executives regarding the impact of business/finance decisions and risk management practices.
- Ø Improving Compliance Department monitoring and testing processes, training, communication, and documentation to achieve desired results.

Interested parties should contact Sue Paulson spaulson@theocc.com.